SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
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Instruction 1	may continue. See 1(b).	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 193	34	hours per re	esponse: 0).5	
	.,		or Section 30(h) of the Investment Company Act of 1940					
	ddress of Reportin bert Phelps	g Person*	2. Issuer Name and Ticker or Trading Symbol <u>FTC Solar, Inc.</u> [FTCI]	(Check all appli Directo	cable)	10% Owner		
(Last) (First) (Middle) C/O FTC SOLAR, INC. 9020 N CAPITAL OF TEXAS HWY, SUITE I-260 (Street) AUSTIN TX 78759 (City) (State) (Zip)			3. Date of Earliest Transaction (Month/Day/Year) 04/13/2023	below)		below)		
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
	(Giate)						_	
		Table I - Non-Deriva	tive Securities Acquired, Disposed of, or Ben	eficially Owne	d			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of 5)			Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)			
Common Stock	04/13/2023		S		1,579	D ⁽¹⁾	\$2.75 ⁽²⁾	195,426	D				

					1										
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)				8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Reflects a sale (i) pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person and (ii) in order to satisfy tax obligations of the Reporting Person that became payable due to the vesting and settlement of certain restricted stock units.

2. Represents a weighted average sales price per share for these shares, which were sold in multiple transactions at prices ranging from \$2.719 to \$2.796. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range. **Remarks:**

/s/ Jacob D. Wolf, as

Attorney-in-Fact

04/17/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See