Check this box if no longer subjectto Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*
Cook Patrick

Cook Patrick

| (Last) $\quad$ (First) | (Middle) |
| :--- | :--- | :--- |
| C/O FTC SOLAR, INC. |  |
| 9020 N CAPITAL OF TEXAS HWY, SUITE I-260 |  |


| (Street) |  |  |
| :--- | :--- | :--- |
| AUSTIN | TX | 78759 |
| (City) | (State) | (Zip) |


| OMB APPROVAL |
| :--- | ---: |
| OMB Number: 3235-0287 <br> Estimated average burden <br> hours per response: 0.5 |


| 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |  |
| :--- | :---: | :---: |
| FTC Solar, Inc. [ FTCI ] | (Check all applicable) | Director |
| XOfficer (give title <br> below) | 10\% Owner <br> Other (specify <br> below) |  |

Chief Commercial Officer

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date <br> (Month/Day/Year) | 2A. Deemed Execution Date, if any$\qquad$ | 3. <br> Transaction Code (Instr. 8) |  | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |  |  | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Code | v | Amount | (A) or (D) | Price |  |  |  |
| Common Stock | 11/01/2022 |  | S |  | 8,646 | $\mathrm{D}^{(1)}$ | \$2.09 ${ }^{(2)}$ | 181,073 | D |  |
| Common Stock |  |  |  |  |  |  |  | 94,295 | I | By <br> Trust ${ }^{(3)}$ |
| Common Stock |  |  |  |  |  |  |  | 94,295 | I | By <br> Trust ${ }^{(4)}$ |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. <br> Trans <br> Code <br> 8) <br> Code | ction <br> nstr. <br>  <br>  <br> v | 5. N <br> of <br> Deriv <br> Sec <br> Acq <br> (A) <br> Disp <br> of (D) <br> (Ins <br> and | nber <br> ative ities red sed 3, 4 $\qquad$ <br> (D) | 6. Date Exer Expiration D (Month/Day/ <br> Date Exercisable | sable and te <br> ear) | 7. Tit <br> Amo <br> Secu <br> Unde <br> Deriv <br> Secu <br> 3 and <br> Title | and <br> nt of <br> ities <br> lying <br> ative ity (Instr. <br> 4) <br> Amount <br> or <br> Number <br> of <br> Shares | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. <br> Ownership <br> Form: <br> Direct (D) <br> or Indirect <br> (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

## Explanation of Responses:

1. Reflects a sale pursuant to a Rule 10b5-1 trading plan adopted by the owner of the shares. The shares sold are a portion of the shares previously granted pursuant to the Issuer's 2021 Stock Incentive Plan to the Reporting Person in exchange for the Reporting Person's agreement with the Issuer to forego a portion of his cash salary from July 1, 2022 until December 31, 2022.
2. Represents a weighted average sales price per share for these shares, which were sold in multiple transactions at prices ranging from $\$ 2.05$ to $\$ 2.14$. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Commission or any security holder of the Issuer, upon request, full information regarding the number of shares sold at each separate price within the range.
3. These shares are owned directly by the Patrick Cook 2021 Trust for the benefit of the Reporting Person. The Reporting Person (a) is the sole trustee of the trust and (b) has sole voting and dispositive power with respect to the shares held by the trust. The Reporting Person's spouse has sole power to acquire for herself any assets held in the trust, including the shares, by substituting other property of equivalent value. The Reporting Person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that he is the beneficial owner of such securities for Section 16 or any other purpose.
4. These shares are owned directly by the Cook 2021 Family Trust for the benefit of the Reporting Person's children. The Reporting Person (a) is the sole investment adviser of the trust, (b) has sole power to direct the trustee as to the voting and disposition of the shares held by the trust, and (c) has sole power to acquire for himself any asset held in the trust, including the shares, by substituting other property of equivalent value. The Reporting Person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that he is the beneficial owner of such securities for Section 16 or any other purpose.

## Remarks:

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

| $\underline{\text { /s/ Jacob D. Wolf, as }}$ | $\underline{11 / 03 / 2022}$ |
| :--- | :--- |
| Attorney-in-Fact  <br> Signature of Reporting Person Date |  |

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. $78 \mathrm{ff}(\mathrm{a})$.
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

