# SEC Form 4

## FORM 4

(State)

(Zip)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

obligations may continue. See Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 193		hours per response:		0.5	
	or Section 30(h) of the Investment Company Act of 1940	•				
1. Name and Address of Reporting Person <sup>*</sup> Mullings Tamara	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>FTC Solar, Inc.</u> [FTCI]		ationship of Re c all applicable	Reporting Person(s) to Issuer ble)		
		X	Director		10% Owner	
(Last) (First) (Middle) C/O FTC SOLAR, INC.	3. Date of Earliest Transaction (Month/Day/Year) 01/25/2023		Officer (give below)	e title	Other (spec below)	ify
9020 N. CAPITAL OF TX HWY, STE. 260	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint	oint/Group Filing (Check Applica		
(Street)		X	Form filed b	by One Report	ting Person	
AUSTIN TX 78759			Form filed b Person	by More than (	One Reportin	g

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)					5. Amount of Securities Beneficially Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	01/25/2023		A		84,805(1)	A	\$0.00	166,581	D	
Common Stock	01/25/2023		A		56,537 <sup>(2)</sup>	Α	\$0.00	223,118	D	

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			( 0/1	,	,		,	• /				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		n Date Amount of			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### Explanation of Responses:

1. The Reporting Person received this grant of restricted stock units from the Issuer in respect of her joining the board of directors of the Issuer, which reflects the Issuers standard one-time grant for new directors. This grant will vest in three equal installments on each of the first three anniversaries of the date of grant, subject in each case to continued service on the Board through and including the applicable vesting date.

2. The Reporting Person received this grant of restricted stock units from the Issuer in respect of her service on the board of directors of the Issuer, which reflects the Issuers standard annual Board compensation. This grant will vest in full on the first anniversary of the date of grant, subject to continued service on the Board through and including such vesting date.

## **Remarks:**

## <u>/s/ Jacob D. Wolf, as</u> Attorney-in-Fact

01/26/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

(City)